

**The Lockpath® Platform
for Compliance and Policy Management**



FOR **COMPLIANCE AND POLICY MANAGEMENT**

An end-to-end solution for managing compliance and policies.

Compliance made less stressful, more rewarding

It's a tall order keeping up with regulatory compliance.

Compliance departments are tasked with this responsibility, from ensuring policies are current with controls and laws to delivering effective awareness training to employees.

Regulatory changes occur frequently, so staying current is always challenging. Fortunately, the Lockpath Platform from NAVEX Global streamlines activities and equips you with tools for managing compliance, policies, and regulatory changes.

Lockpath offers a way to change the game, so compliance and policy management are less stressful and more rewarding.

Lockpath's Approach to Compliance/Policy Management

Regulatory compliance

Manage a multi-regulation compliance program, including documentation, assessing compliance, remediation, and reporting.

Centralized documents

Centralize all policies, procedures, and authoritative sources in one interconnected database that's easily accessible and serves as a single source of truth.

A defensible record

Prove compliance at any point in time with a defensible record that shows who did what, when, how, and why.

Controls framework

Import and aggregate controls with capabilities to identify duplicate, ineffective, and conflicting controls. Link controls to authority documents, assets, risks, and more.

Change management

Ensure regulatory changes are standardized, communicated, and accessible. Use workflow to manage the impact from regulatory changes.

Violations and incidents

Investigate, document, and manage violations and incidents. Link to policies, controls, and risks, then use workflow for updates and escalation.

Managing compliance and policies with Lockpath

The Lockpath Platform from NAVEX Global provides compliance departments with an end-to-end solution for compliance and policy management.

Lockpath helps companies comply with multiple regulations while reducing costs associated with compliance activities. Lockpath users store authority documents (i.e., regulatory requirements, compliance mandates, customer contracts) in a central database and links these documents to associated controls, policies, procedures, assets, risks or even third parties. This simplifies gathering evidence of compliance or performing a gap analysis to determine what needs addressing.

The same principle also applies to policy management. Within Lockpath, policies, procedures, and authoritative sources can serve as a single source of truth. Import policies or create new ones, and then use Lockpath's workflow engine to automatically initiate and track the policy review process.

When it's time to publish a policy, simply alert employees by issuing awareness notifications in Lockpath. You can also set up automatic policy reminders, capture attestations for reporting purposes, even test employees on their policy comprehension.

Controls are managed in the same way---centrally located and linked by association. It's a streamlined controls framework that enables you to easily identify ineffective or conflicting controls,

de-duplicate controls, as well as monitor automated controls.

The ever-evolving regulatory landscape

The current regulatory climate increases the likelihood of changes. When a new regulation is enacted, or requirements change, companies can easily identify the impact in Lockpath, as well as which policies, procedures, and controls need to be updated for compliance.

From regulatory compliance to controls and policies, Lockpath equips you to run an efficient, effective, and agile risk management program.

To learn more about Compliance and Policy Management with the Lockpath Platform, contact us at info@Lockpath.com.

About NAVEX Global

NAVEX Global is the worldwide leader in integrated risk and compliance management software and services. Trusted by more than 14,500 customers, our solutions help organizations manage risk, address complex regulatory compliance requirements and foster an ethical, highly productive workplace culture. For more information, visit www.navexglobal.com.