Keylight
By NAVEX Global®

Healthcare
with Keylight®

Now more than ever, companies in the healthcare sector face multiple and potentially disruptive challenges that necessitate an automated, standardized approach to compliance, risk and audit management.

If you're like most healthcare organizations, your methods for compliance, risk, and audit management involve manual processes performed by separate departments that rarely share information. You may also rely too heavily on tools - spreadsheets, file-sharing websites, email - that were not designed to manage risk and compliance.

Today, there's a more efficient, more effective way to tackle the entwined and complex nature of the industry's regulatory requirements, operational risks and cyber-threats.

The Lockpath Keylight® Platform is an enterprise management system that enables healthcare organizations:

- Share large amounts of data used for compliance, risk management and audit preparation.
- Foster better communication across the organization, thus increasing accountability.
- Standardize business processes by automating the tasks that staff members rely on spreadsheets, network files, and email to complete.

Healthcare organizations can use Keylight to improve efficiency and effectiveness in six key areas: Policy and regulatory compliance, risk management, business associate and third-party risk assessment, audit management, incident response, and health and safety management.

Policy and Regulatory Compliance
In the complex world of healthcare, failing to comply usually isn't a matter of defiance. Most often, rules aren't followed because somebody didn't understand a rule or didn't know it even existed, and nobody was keeping track of operations enough to know regulations and procedures weren't being followed.

The Keylight Platform enables organizations to manage all facets of their compliance programs and adjust to changes in their operations, as well as new and expanded regulations. With Keylight, users can:

- Centrally store compliance documents for accreditation and regulation requirements, including HIPAA, ISO, NCQA and OSHA, as well as policies like anti-bribery, anti-kickbacks, and whistleblower programs.
- Establish workflows for policy review and approval.
- Communicate policies and procedures and any changes to staff.
- Create a single list of unified controls that reduces redundant compliance tasks and addresses conflicts between various requirements.
- Document activities for reporting and to share with outside regulators and enforcement agencies, including market conduct exams, accreditation assessments, and NAIC MAR compliance.

Risk Management
From patient safety to malpractice to widespread disease outbreaks, healthcare has always been a risky endeavor. But in the last several years, a wide range of new risks has emerged as the industry adapts to changing regulatory and market-driven pressures, including globalization, mergers and acquisitions, and the advancement of technology.

The Keylight Platform addresses the industry's responsibilities of:

- Securing the personal health information (PHI) of patients in a world of social media, "smart" medical devices, and Bring Your Own Device (BYOD) policies.
- Vetting, managing, and reporting vendor risks, especially those with access to PHI/ePHI.
- Managing global supply chain logistics and quality control.
- Developing and centralizing custom business continuity and disaster recovery plans.

The Keylight Platform allows you to see operational, information security and business associate risks in context of the organization as a whole. Risks can be imported or entered manually into the risk register along with any risk exceptions. Users can analyze and score risks based on a number of methodologies.

The platform's powerful, flexible reporting function provides updated risk status and trends over time, and it can help track remediation, including policy exceptions. The risks can be tied to other Keylight data such as assets, business associates, or compliance documents.

Vendor and Third-Party Risk Assessment
The regulatory environment in healthcare has expanded to include vendors and third-parties. The HIPAA Omnibus Rule expanded compliance requirements to business associates of covered entities, such as contractors and subcontractors. Therefore, it's critical that healthcare organizations properly vet, manage, and report third-party risks, especially those with access to PHI/ePHI.

In addition, global supply chain logistics and quality control management have become points of emphasis, especially for pharmaceutical companies, which must now comply with the "track-and-trace" provision of the Drug Supply Chain Security Act.

Keylight helps healthcare organizations automate the third-party review process by:

- Creating dynamic assessments using prebuilt questions based on standards and regulations to obtain relevant vendor information.
- Grouping third-parties by role or type to segment the survey types or frequency for different vendor sets.
- Automatically generating a score to determine the risk profile of a third-party across different risk categories.
- Automating periodic reviews of high-risk third-parties to maintain ongoing compliance.
- Distribute new policies or policy changes to third-parties via awareness events.
- Centrally storing business associate and third-party profile information, along with risk scores.

Audit Management
Healthcare organizations are subjected to multiple types of audit and compliance obligations, from internal governance to regulatory requirements to industry standards. Within each, the organization must identify auditable entities, conduct numerous audit activities and communicate findings. The Keylight Platform enables users to:

- Establish an audit universe and prioritize audit tasks based on specific regulatory requirements.
- Assign audit tasks to groups or individuals.
- Provide reports on audit performance and findings.
- Track issues and stay on top of inactivity in the audit process, pending risk remediation activities, and finalized audit findings and observations.
- Document audit findings with evidence and route through workflow for remediation.
- Maintain a centralized audit documentation repository for future audit purposes.

Incident Response
Liability and risk exposure increase the longer issues such as fraud, abuse, or security/privacy violations go undetected and unresolved. The Keylight Platform enables companies to:

- Track incidents of all varieties-from information security to whistleblowing.
- Streamline the process for managing incident identification, investigation and documentation.
- Automatically import incident data from third-party sources like security information and event management (SIEM) tools.

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- Relate incidents to policies, risks and business continuity plans.
- Conduct business impact analysis (BIA) of incidents.
- Provide employees a secure and anonymous portal to report workplace incidents and violations, which can be assigned for investigation.

Health and Safety Management

Healthcare organizations stress workforce safety. The trouble is, health and safety professionals responsible for running safety programs spend an inordinate amount of time on compliance, from a mountain of paperwork to an endless number of tasks.

The Keylight Platform empowers health and safety professionals to work smarter, not harder. Keylight brings efficiency to paperwork and streamlines tasks while enabling health and safety information to be factored into the organization's risk posture. Specifically, Keylight users can:

- Automatically generate submission-ready OSHA 300, 300A, and 301 forms saving hours of paperwork.
- Perform job hazard analyses (JHAs) to identify and remediate potential job risks and hazards before incidents occur.
- Conduct and document facility and site inspections, then schedule and manage remediation of findings - all within the platform.
- Link risks identified in inspections and JHAs to the risk register for executive visibility into operational impact.

The most efficient, intuitive platform on the market

In the complex world of healthcare, you need a solution that goes beyond features and delivers on expectations. Here's what you can expect from Keylight:

- Won't take months to implement and configure.
- Scales as your organization grows and expands.
- Evolves alongside your risk, audit, and compliance programs.
- Flexible enough to adapt to your processes and changing regulations

If you've been searching for a better way to track and manage compliance and risk activities, you've found it - the Keylight Platform from Lockpath.

To learn more about Keylight, contact us at **info@Lockpath.com**. Or visit **Lockpath.com**.

AIP | Vendor Manager Hybrid

A Audit Manager | **BC** Business Continuity Manager | **C** Compliance Manager | **HS** Health & Safety Manager | **I** Incident Manager | **R** Risk Manager | **S** Security Manager | **V** Vendor Manager

Keylight Platform

About Lockpath

Lockpath is an enterprise software company that helps organizations understand and manage their risk. The company's line of integrated risk management solutions provides companies with the means to efficiently and effectively identify, manage, and monitor risks, for a more agile and resilient business. Lockpath serves a client base of global organizations ranging from small and midsize companies to Fortune 10 enterprises in more than 15 industries. The company is headquartered in Overland Park, Kansas.

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