

## U.S. DEPARTMENT OF JUSTICE – COMPLIANCE PROGRAM GUIDANCE

The DOJ released updated [Evaluation of Corporate Compliance Programs](#) guidance in June 2020. The table below outlines specific areas of risk and compliance the guidance addresses, and the corresponding NAVEX Global solution(s).

Evaluation of Corporate Compliance Programs: Document Sections	NAVEX Global Product / Service							
	Lockpath	EthicsPoint	PolicyTech	NAVEX Engage	RiskRate	Code of Conduct	COI Disclosures	Awareness
Risk Assessment								
Policies and Procedures								
Training and Communications								
Confidential Reporting Structure and Investigation Process								
Third Party Management								
Mergers and Acquisitions								
Commitment by Senior and Middle Management								
Autonomy and Resources								
Incentives and Disciplinary Measures								
Continuous Improvement, Periodic Testing and Review								
Investigation of Misconduct								
Analysis and Remediation of Any Underlying Misconduct								

Source: US Department of Justice, 2020 (<https://www.justice.gov/criminal-fraud/page/file/937501/download>)