

Compliance Program Challenges & Solutions Deminar Series

NAVEX's top leaders address best practices
and solutions for your biggest challenges



Third-Party Risk & Vendor Onboarding

NAVEX™



Presenters



Shon Ramey

General Counsel
NAVEX



Bryn Jones

Product Specialist
NAVEX

Agenda

- Overview & NAVEX Philosophy
- Solution Demonstration
- Questions



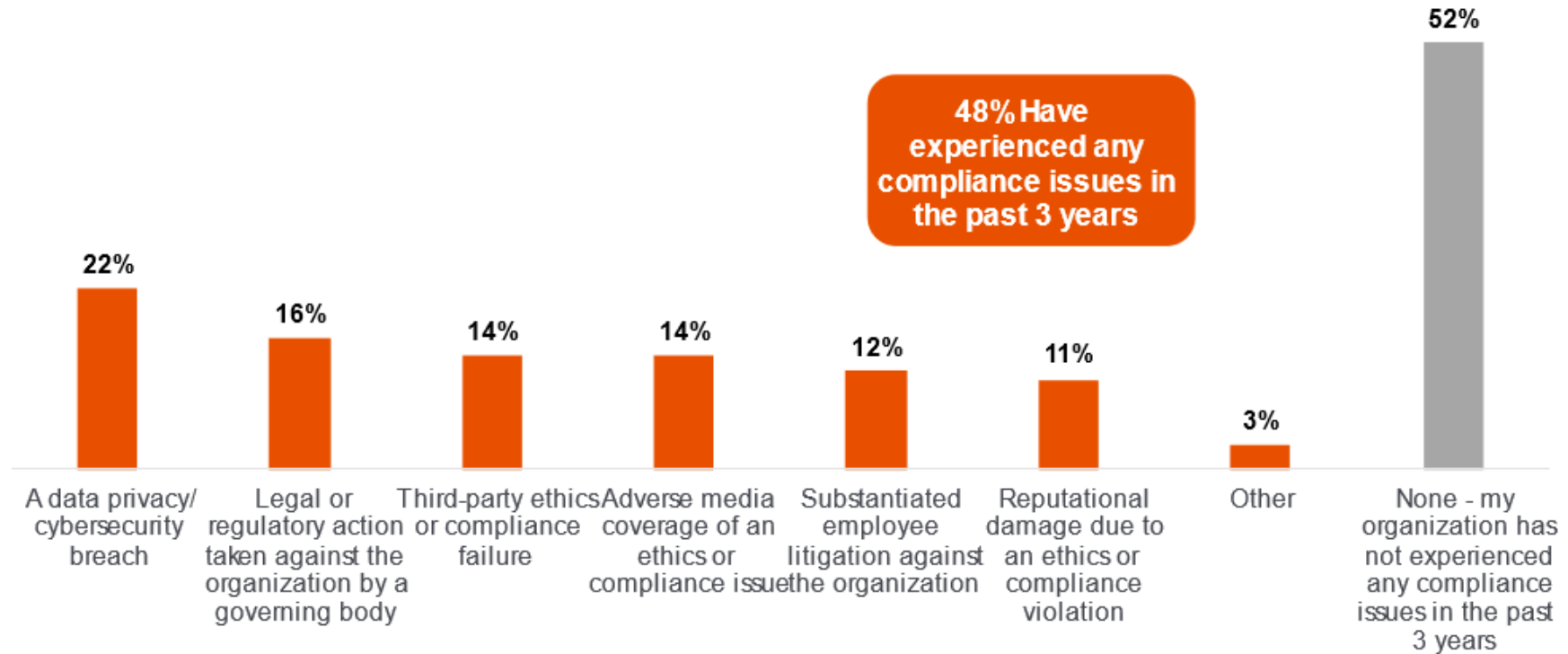
Why Third-party Screening is Critical

- Third-parties are an extension of a company's brand
- Third-parties efforts are often more visible, yet their actions and histories are often not transparent
- Privacy and cybersecurity practices and negative media are often used for vendor selection



Compliance Issues in the Past 5 Years

NAVEX 2021 Benchmark Report



BASE: ALL QUALIFIED RESPONDENTS (N=1105)

Q220 Has your organization experienced any of the following compliance issues in the past 3 years? Please select all that apply.

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Let's See This In Action- Onboarding & Results

Real Life NAVEX Story

- Ran a competitive RFP process and landed on a qualified provider
- Pricing was very attractive
- Third-party review identified extreme negative media, which would have reflected poorly on NAVEX
- We were able to avoid onboarding and being associated with a provider who would have impacted our brand.



Let's See This In Action- Tracking & Reporting

Wrap Up

In just a moment, we'll take a few questions.

Please let us know how we can help you find the right solutions for your ethics and compliance initiatives.

If you would like to speak with a NAVEX One solution expert one-on-one to discuss your program, please complete our closing survey and we'll be in touch soon.

While we have the survey up for you, let's take some questions.....

Questions?



Join our next session tomorrow morning on...

Incident Reporting & Management Workflows

Hosted by Carrie Penman,
Chief Risk and Compliance Officer

Thank
You

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