

Governance, Risk, and Compliance Program Performance & Impact Assessment

Monitor and measure your GRC program's impact on workplace culture



NAVEX One®
GRC INFORMATION SYSTEM

The challenge

The U.S. Department of Justice (DOJ) guidance measures the impact and performance of a governance, risk, and compliance (GRC) program from the perspective of three questions:

- Is the program well designed?
- Is it being applied earnestly in good faith?
- Is it adequately resourced and empowered to function?

To be ready to answer these questions your organization needs to know how well your GRC program is being implemented and if it is structured to have a positive impact on your workplace culture. Part of this means knowing if your policies are current, reinforcing key learnings with impactful compliance training, and making sure your employees are reading and attesting to documents. It also means having all the information your compliance, legal, HR, and IT teams need in one place to conduct thorough investigations when incidents occur and quickly react if program changes are needed. This can be a big task if all your compliance tasks are handled in disparate systems.


The solution

The right GRC platform can provide you with all the information that you need to create and maintain a compliant and impactful program. This will enable you to quickly show that your program works in practice and that you have a mechanism for the timely and thorough investigation of any allegations or suspicions of misconduct. With the right GRC platform, you can review key data points like attestations from training and policies and related past incident reports, all in the same system – allowing you to see historical information on individuals or locations. This allows for complete documentation of your responses to allegations, including any disciplinary and remediation measures taken. It also enables you to measure the impact of your program with dashboards and root cause analysis.


The NAVEX One platform provides the necessary tools for proactive and strategic planning after assessing your organization’s risk areas – improving workplace culture and reporting to the board.

Use cross-platform data to carry out a thorough investigation for better overall program performance

- 1** Receive incident report. For example, a report of a manager’s conflict of interest.
- 2** Before conducting interviews for the investigation, gain an understanding of whether the manager knew the policy and was trained on conflicts of interest.
- 3** Run a report in the NAVEX One platform to find out all compliance tasks the manager completed; including COI training, policy and a conflict of interest questionnaire.
- 4** Run a report to see if the manager had any other misconduct reports.
- 5** Interview the manager and other relevant individuals for clarification, using the data to drive the questions.
- 6** Collect the findings, complete a synopsis of the case, including the substantiation and any root cause analyses such as policy violations into the platform.
- 7** Notify the relevant parties on outcomes and close the case.

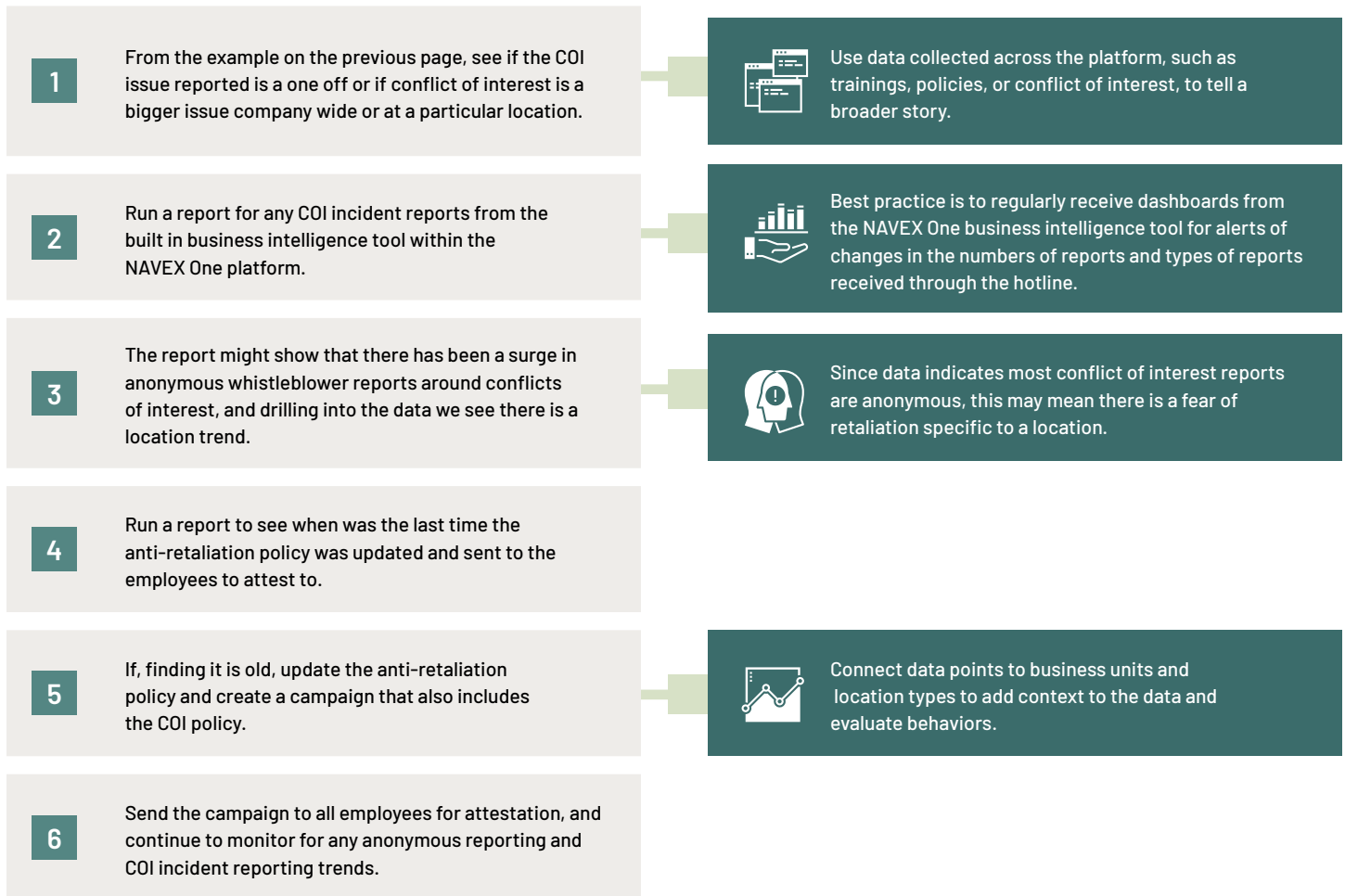


Failure to complete trainings, inaccessible or out-of-date policies, and reports not being created, could ultimately lead to risk of being fined for incidents.



The more information you have that is easily searchable, the less digging you have to do in multiple locations, and the more substantial evidence you’re going to have at your fingertips for investigations

Use platform dashboards to do root case analysis to monitor the programs impact on culture



A well-run GRC program requires a centralized and coordinated approach across other business units to gain a better understanding of different areas of risk. Circling back to the question - does the GRC program work in practice? When all the data needed for investigations is in one location, you have actionable information at your fingertips to quick close cases and do root cause analysis.

See how [NAVEX One GRC-IS](#) makes it easy to use your data to uncover issues that let you take action to improve your compliance and workplace culture.