



About Us

Building a safer, stronger, and sustainable future through smart risk management.

At NAVEX, we believe confidence in tomorrow begins with smart risk & compliance decisions today. NAVEX offers tools to better understand risk and compliance health, and to manage GRC programs simply, efficiently, and holistically.

NAVEX is the recognized leader in risk and compliance management software and services, empowering thousands of customers around the world to manage and mitigate risks with confidence. Our mission is to help customers promote ethical, inclusive workplace cultures, protect their brands and preserve the environment through sustainable business practices.

Our History

NAVEX was the first organization in the world to offer whistleblower hotlines and helplines through our EthicsPoint® and AlertLine® hotline and incident management solutions and we launched the nation's first compliance focused eLearning solution more than 20 years ago. For more than 35 years, we've worked with global organizations to provide a comprehensive suite of solutions to manage Governance, Risk and Compliance (GRC).

Four GRC industry leaders with histories leading as far back as 1981 were joined together to form NAVEX Global in 2012. These four organizations included Employment Law Training (ELT) which specialized in online ethics training, Global Compliance Services (GCS) and the Ethical Leadership Group (ELG) which offered hotline services and advisory services respectively, EthicsPoint, Inc., which delivered integrated hotlines and advanced incident management, and PolicyTech Technologies International, Inc., which offered policy and procedure management software. In addition, NAVEX launched a differentiated and entirely new platform for third party risk management, RiskRate, in 2013, and Atlanta-based The Network, also a provider of ethics & compliance solutions, was acquired in August of 2015. In 2019 NAVEX Global acquired Expolink Europe Ltd., a UK-based whistleblowing hotline service provider (June 3rd), Lockpath, a leading provider of governance, risk management, compliance and information security software (August 6th), and WhistleB, an innovative whistleblowing solution and incident management software provider based in Stockholm, Sweden (December 11th). On October 29, 2020 NAVEX Global acquired the assets of CSRware, a pioneer in the Environmental, Social and Governance (ESG) software market and on June 30th of the same year NAVEX Global announced the launch of its NAVEX One integrated SaaS platform to help businesses more efficiently and effectively manage risk and compliance programs. Marking the first of a multi-phased plan to bring NAVEX's comprehensive risk and compliance solution set together onto a fully integrated platform, NAVEX One provides compliance and legal professionals unified program administration across their NAVEX solutions.

In short, NAVEX is integrating technology, content, expertise, and deep data capabilities to provide its customers with a new way to manage risk. It is a system that automates processes, operationalizes big data, and provides real-time risk information, along with the know how to make your program truly exceptional. We are committed to doing the right things right and believe we have the ability to help every organization to do the same. We were the first in the GRC space and strive every day to remain the best.

Our Company

We help power businesses in 100+ countries and across most industries. Headquartered in Portland, OR, NAVEX has seven worldwide offices and thousands of people working to help transform how modern risk and compliance departments are built and run. Offices are in Lake Oswego, OR, Norcross, GA, Charlotte, NC, Rexburg, ID, Overland Park, KS, (United States), Brentford (United Kingdom), and Stockholm, Sweden. For its hotline customers, NAVEX currently has an in-house US Contact Center location in Charlotte, North Carolina. We also utilize Teleperformance and Transparent BPO. NAVEX's



parent company is NAVEX Global Holding Company, which in turn is owned by BC Partners, one of the world's leading private equity firms.

Our Purpose and Vision

NAVEX Purpose

- **Promote** an ethical, inclusive, and equitable workplace culture for all
- **Protect** customers brand and stakeholders from an ever-changing risk landscape
- **Preserve** our environment's natural resources by enabling sustainable business practices

NAVEX Vision

To deliver the world's smartest integrated risk platform that predicts and mitigates risk events before they occur.

Service Offerings

NAVEX's scalable cloud-based risk and compliance platform is a complete toolkit for risk and compliance management, focused on helping our customers foster an ethical workplace culture, address complex regulatory compliance requirements, build a robust ESG program, and strategically manage business risk. The NAVEX unified platform approach includes development of an underlying platform architecture, the enhancement of existing applications to meet market requirements, and the development of new solutions as part of our GRC stack; all delivered via a seamless and consistent user interface. NAVEX is always improving and adding new features. Our world-class engineering team iterates upon every facet of the risk and compliance platform to deliver best-in-breed technology.

Our Philosophy

Our business philosophy is based on the knowledge and conviction that ethical companies are more successful. Our products, services and customer interaction reflect this philosophy. In today's increasingly unpredictable business environment, executive management and Board members face new challenges. Their responsibilities require attention to risk and compliance programs that cover four broad areas of risk – risks related to complying with various laws and regulations, business risks related to their everyday operations, people or employee risks and the desire to have a strong culture, and last, but not least, they are increasingly concerned about corporate responsibility including social and environmental responsibility. Managing this full range of risks requires an integrated, holistic approach. One that provides a complete picture and enabling a comprehensive approach to risk identification and mitigation. NAVEX enables its customers to confidently anticipate and navigate global regulatory compliance, manage risk intelligently, and in the end harness the competitive advantage of compliance.

Our Customers

NAVEX works with nearly 13,000 customers around the globe and is trusted by some of the world's most well-known companies and brands. We are proud to say that more than 70 million employees have learned about critical compliance topics from our training courseware or felt empowered to use our hotlines to report an issue.

- Over 6 million users in highly regulated industries
- 3 of the 4 top accounting firms
- 8 of the top 20 American banking and financial services institutes
- 9 of the largest retail organizations in the United States
- 5 of the largest US telecommunications firms
- 9 leading global insurance providers
- 4 top global telecommunication manufacturers
- 8 of the UK's 10 largest banks
- Half of all FTSE 100 companies
- Major US government agencies

- Leading healthcare and pharmaceutical organizations, including 9 of the world's 10 largest pharmaceutical companies
- More than 1,900 European customers, with nearly half in technical, financial or information sector
- More than 600 higher educational entities (institutions and systems) around the world
- More than 600 not-for-profit organizations
- Key energy and business service providers

Corporate Social Responsibility

By virtue of working in the field of Governance Risk and Compliance, NAVEX is already an amazing force for good in the for-profit sector. However, we also take a more proactive approach to Corporate Social Responsibility to differentiate ourselves even further as an organization that actively lives its commitment to ethics. Led by a team of employee volunteers, NAVEX hosts several events throughout the year with the purpose of promoting the values of NAVEX and its employees by empowering our team members to serve the communities in which they work. These events include blood drives, employee volunteer opportunities and various food and clothing collections to provide for our local communities. Our outreach efforts afford us the opportunity to engage customers and communicate our commitment to ethics as well as the chance to recruit, engage and retain top-notch talent.

Business Partners

NAVEX is proud to partner with industry-leading organizations that help protect businesses, grow positive corporate cultures and provide key learning opportunities for our customer base:



Financial Stability

NAVEX is a well-established privately held company and proudly points to our more than 35 years in business as proof of our stability.

Subcontractors

As part of our continued commitment to transparency and accountability, and to assist our customers in their own compliance obligations, NAVEX provides to its customers up-to-date information about the third-party suppliers NAVEX uses in delivering services at the following hyperlink:

<https://www.navexglobal.com/en-us/service-hosting-providers>