Product Guide

NAVEX[®]

Give Employees a Voice and Create Positive Organizational Change



An Incident Management Program That Powers a Comprehensive Ethics and Compliance Program With Valuable Risk Signal Data

The NAVEX One Governance, Risk, and Compliance Information System (GRC-IS) platform helps you manage risk complexity by focusing on solutions for how employees, third parties, and business processes work together. With solutions to support all areas of your business in one location, the NAVEX One platform provides an ease-of-use familiarity through all solutions while eliminating siloes and mitigating risk to your business.

Capture Reports From All Channels To Gain Insight Into Key Risk Areas

Nearly every compliance mandate has provisions to acknowledge and respond to reports of wrongdoing in a timely, clear and consistent manner. A strong incident management program goes beyond a simple intake program. With reports received from multiple channels and fed into a centralized database, organizations get an accurate, holistic view of the risk landscape and cultural health across the enterprise.

Incident Management Software That Invokes Positive Change

2023 Risk & Compliance Hotline and Incident Management Benchmark Report

Effective hotline and incident management programs are

receiving enough reports annually to gather valuable pieces of business intelligence to identify problem areas before they become significant risks. Our annual report provides benchmarking data from over 1.37 million reports across industries, regions, and company size.

<u>Get the Report ></u>



Definitive Guide to Incident Management

Establishing an effective incident management program goes beyond a simple hotline solution. Providing an

accessible and trusted process for employees to report unethical behavior encourages a speak-up culture, and effective investigation practices ensure consistent resolutions for all reported incidents. Establishing an effective reporting and investigation process is a key function for all compliance programs.

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Definitive Guide to Incident Management

> Going Beyond the Whistleblower Hotline



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Solve Key Business Challenges

- Go Beyond a Check-the-Box Solution
 Give your employees a hotline solution that reflects
 your commitment to an ethical, speak-up culture
- Simplify Processes and Consistently Investigate All Reports

Build trust and encourage feedback by addressing employee concerns with a consistent, fair process

Learn From Your Managers

Give your managers access to an open-door report form to capture employee discussions about suspected misconduct

 Make Your Incident Management System Do More Collect cross-departmental issues in a central database for a comprehensive look at your organizational risk

Why NAVEX One's EthicsPoint?

Collect and manage incident reports consistently with one easy database. Customizable workflows and report routing help tailor a solution that fits your organization's unique needs.

Uncover trends and identify heightened risk areas with robust analytics tools. Turn data into actionable insights using powerful analytics and reporting tools directly in your case management system. Automate reporting for consistent delivery to stakeholders across the organization.

Manage your hotline as part of a comprehensive ethics and compliance program. Uncover the effectiveness of your training program, measure the impact of policy management and identify conflicts of interest by connecting these compliance functions to real world incidents.

ROI of a Robust Incident Management Program

Realize significant value with multiple sources of intake paired with a robust case management tool.

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- Improve process efficiency
- 2 **Reduce time** for case investigation and board-report preparation
 - **Provide multiple forms** of intake to encourage employees to speak up
- 4 Mitigate costly penalties with better insights into potential risk areas

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NAVEX One Manages Employee Risk With Centralized Intake, Investigation and Reporting

NAVEX helps organizations identify, remediate, and report on employee risk by tapping into the most valuable pool of risksignal data: reports of misconduct witnessed by the employees on the ground. By harnessing this data, companies get a realistic sense of the effectiveness of their compliance program, keep tabs on their company culture, and demonstrate a commitment to operating ethically.



Implement flexible intake options to meet your employees where they are most comfortable. Accessible intake options for the web, mobile, telephone, and in-person reports translate to higher reporting rates, resulting in a more effective program and more positive business outcomes.



Identify trends, surface developing risk areas, and create compelling reports with powerful analytics and reporting tools directly in the case management system.



Scale your incident management program to meet the needs of your organization. Streamlined systems can be augmented with additional intake channels, report tiers, automated rules and reporting, and more to drive compliance program efficiency and maturity.

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NAVEX is trusted by thousands of customers worldwide to help them achieve the business outcomes that matter most. As the global leader in integrated risk and compliance management software and services, we deliver our solutions through the NAVEX One platform, the industry's most comprehensive governance, risk and compliance (GRC) information system. © 2023 NAVEX GLOBAL, INC. ALL RIGHTS RESERVED. | 07.29.23