NAVEX®

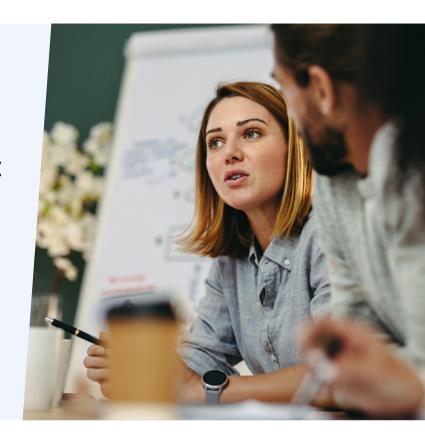# NAVEX Compliance Program Management: DOJ Guidance

Optimize your policy and procedure management platform by creating the right policies and proving they work

Compliance Program Management

Policies & Procedures

The Challenge

Building an effective compliance program from the ground up can be a monumental task. The stakes are high – failing to implement the correct policies and procedures creates an untenable amount of risk with potentially dire consequences, up to and including devastating fines and enforcement actions by regulatory bodies.

But a policy created and managed in a vacuum isn't worth the platform it's published on. Implementing a purpose-built solution to review, distribute and update your policies is a powerful first step. But it's not enough to merely show your policies exist; crucial compliance questions demand answers – do I have the right policies? Are they working? Can I prove it?

The Solution

Use NAVEX Compliance Program Management to map your compliance program needs against proven regulatory and control frameworks. Track your progress against each vetted control statement and assign quantitative values to your compliance activity. Connect control statements in NAVEX Compliance Program Management directly to policies managed in NAVEX One PolicyTech, and directly notify policy owners when changes need to be made. When your stakeholders ask about your program's overall health, you'll have all the critical activities, evidence and controls collected in coherent charts and dashboards.

Effective policies form the connective tissue in a healthy governance, risk and compliance program—you should be operating with a scalpel, not a sledgehammer. Luckily, with NAVEX's program compliance management software mapping, measuring and proving your compliance program doesn't have to be brain surgery.

Compliance Program Management

Policies & Procedures

NAVEX®

Power your GRC program with effective policies using NAVEX Compliance Program Management and NAVEX One PolicyTech

1 Translate the US Department of Justice's (DOJ) guidance on corporate compliance into straightforward control statements backed by input from industry experts

2 Track your overall compliance program performance by assigning a quantitative value to each control statement

3 Connect control statements in NAVEX Compliance Program Management directly to policies managed in NAVEX One PolicyTech

4 Send notifications directly to policy owners to manage policy updates or creation in line with control requirements

5 Collect evidence of your compliance activities and store it in a single, easily accessible location

6 Present insights and analysis of your compliance program's performance to inside and outside stakeholders using prebuilt reports and dashboards

7 Mature your GRC program by expanding your focus to additional regulatory and control frameworks

Benefits

Create the right policies with expert guidance

Vetted compliance control statements offer concrete, prescriptive direction for compliance professionals building their program.

Automated policy creation and revision workflows

Connect your controls directly to policies managed in NAVEX One PolicyTech to create resource efficiencies with data linking and notifications.

Stay audit-ready with comprehensive tracking and analysis of compliance policies

All types of compliance activities, controls and policies can be linked, tracked, and assessed in a single web-based portal.

Build a foundation for a maturing GRC program

Lay the groundwork for a more efficient, broader-reaching compliance program with features such as additional regulatory frameworks, a comprehensive risk register, and third-party risk management.

Contact your NAVEX account representative to learn how NAVEX Compliance Program Management can make your policy and procedure management solution a vital component of your GRC program.