NAVEX®

A Strategic Approach to Managing Conflicts of Interest and Disclosures

Disclosure Management

Conflicts of interest as part of employee compliance

Manage risk complexity by focusing on a solution for how employees, third parties, and business processes work together, with NAVEX One GRC Platform (NAVEX One). You can support all business areas in one location, eliminating siloes and mitigating bushiness risk, by leveraging the familiar, easy-to-use look and feel of NAVEX One.
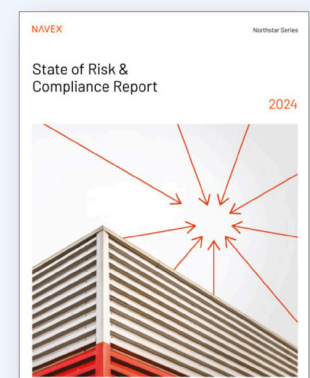
Best practices to collect, track, and mitigate conflicts of interest

As you work to reduce risks, it's important to ensure employees are committed to the success of your business. Identifying potential conflicts of interest and documenting gifts and entertainment is crucial to your employee onboarding process as well as ensuring ongoing compliance. Fostering transparency protects both your business and your employees by reducing conflict and proactively managing potential risks. By implementing a trusted, automated COI solution you will have the flexibility, resources and workflows to meet your organization's unique needs. Integrating this process into your ethics, risk and compliance program provides a holistic program approach that can reclaim countless work hours for your team.

2024 State of Risk & Compliance Report

The 2024 NAVEX report is a comprehensive review of R&C programs that offers key findings, analysis and insight to help organizations measure, evaluate and advance their programs. The data and insights in this report helps Chief Compliance Officers and other R&C professionals make informed program decisions. This report also outlines practical ways to improve R&C programs of all maturity levels and organizational sizes.

Review our benchmark report to see how your program stacks up.>

NAVEX®

Solve key business challenges

1 **Avoid potential blind spots**

Using a purpose-built disclosure management solution allows you to identify, prioritize, mitigate and provide channels for employee disclosures - avoiding potential clashes with employees

2 **Go beyond a check-the-box solution**

Revitalize your disclosure management program with a best-practice templated questionnaire to address all conflicts and only require investigation when a potential conflict is flagged

3 **Simplify processes and expand your reach**

Mirror how your team works with customizable workflows and connect globally by enabling employees to complete questionnaires in their preferred language.

4 **Address conflicts of interest as a whole**

The best programs address conflicts of interest from multiple angles to ensure employee commitment –

- Defining expectations through a COI policy

- Training on how and when to make COI, gifts and entertainment disclosure

- Providing an automated solution for employees to disclose and administrators to manage follow-up tasks

Why NAVEX One's Disclosure Management?

- Provides you a starting point to build your disclosures program with best-practice, templated questionnaires and structure. Any existing program benefit from adding automation and customization to your workflow

- Eliminates your manual processes, and leverage necessary workflows for all aspects of your disclosure campaigns

- Enables your employees make proactive On Demand Disclosures outside of annual campaigns through NAVEX One Compliance Hub

- Creates detailed reporting and analytics that helps you understand, address and manage the impact of conflicts of interest throughout your organization
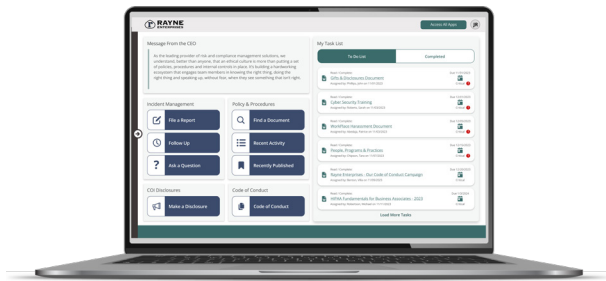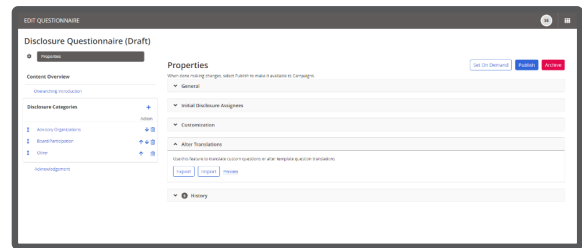
ROI of an automated conflicts of interest program with NAVEX One

- **Reduce time** to collect disclosures and address conflicts

- Customize content and workflows to **maximize efficiency**

- **Improve processes** with pre-translated templated questionnaires for a **global approach**

- **Communicate results** with audit ready reporting

- Manage conflicts of interest **in the same location** as whistleblowing and incident management, policies, and training

NAVEX One delivers a modern approach to addressing conflicts

NAVEX One delivers operational process efficiencies with streamlined software that allows an organization to automatically gather, track and analyze all disclosures. With both fully customizable and templated questionnaires, Disclosure Management enables organizations to launch a full COI campaign or provide employees with the ability to self-disclose conflicts with On Demand Disclosures through NAVEX One Compliance Hub. Triggering workflows that match how your team works, Disclosure Management will help make informed action plans to mitigate employee-based risks.

Leverage our best practice templated or fully customizable questionnaires in the language of your choice to launch your COI campaign while leveraging necessary workflows for distributing, managing, and approving any conflicts as they arise.

Enable employees to disclose conflicts of interest outside of annual campaigns with On Demand Disclosures, allowing you to address risks as they surface throughout the year through NAVEX One Compliance Hub.

Analyze each conflict and overall disclosure campaign with intuitive at-a-glance dashboards that are audit ready to help manage, mitigate, and reduce the impact of potential conflicts of interest.