

Compliance Programs Made Simple for Retailers



Policy & Procedure Management



Code of Conduct



Ethics & Compliance Training



Whistleblowing & Incident Management

The challenge

Retail employers face the challenge of maintaining ethical conduct and legal compliance amidst high turnover, diverse workforces, and complex customer interactions. Ensuring consistent training, maintaining a speak-up culture, and upholding ethical practices are critical. Yet, doing so is made difficult in a fast-paced retail environment. A comprehensive compliance solution that proactively mitigates risks and fosters a culture of integrity across all levels of the organization is needed to stay on top of compliance needs for your retail business.

The solution

Implementing a compliance software solution that integrates targeted training, an anonymous reporting system to foster open communication, centralized policy management, and a dynamic, accessible code of conduct is critical for retailers of all sizes and in all geographies.

This integrated approach will streamline compliance processes, empower employees to make ethical decisions, and cultivate a culture of integrity – ultimately mitigating risks and enhancing brand reputation.



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NAVEX®

Process: Cover your bases

- 1 Automate policy management:** Implement a system to make policy review, updates, and employee attestation seamless. Ensure easy mobile access to a centralized policy database for all staff.
- 2 Digitize your code of conduct:** Use a web-based platform to communicate and update your organization's expected behaviors effectively and efficiently.
- 3 Deliver impactful, tailored training:** Provide engaging, legally vetted training courses, easily customized to your retail environment, to quickly equip your employees with essential knowledge.
- 4 Centralize incident management:** Implement a whistleblowing system to collect, investigate, and analyze all workplace concerns, enabling proactive risk mitigation through consistent, centralized processes. Centralized whistleblowing and incident management solutions allow investigators to work cross-functionally to ensure investigation integrity and communication throughout the case lifecycle.

An integrated approach with NAVEX One Policy & Procedure Management, Code of Conduct, Ethics & Compliance Training, and Whistleblowing & Incident Management:

By unifying each part of your compliance program, NAVEX One ensures every compliance action – from policy creation to training and reporting – is aligned and easily tracked in one centralized platform, reducing silos and improving overall compliance management.

Benefits include:

- Maintain a compliant, safe and productive workforce
- Easy access for employees when they need to take trainings, view a policy or your code of conduct, make a report or ask a question
- Consistently manage your entire risk and compliance program
- Stay ahead of changes and make informed decisions impacting your workforce and business